



DOCKET NO. 17243CIP3(AP)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chow et al.  
Serial No.: 09/815,362  
Filed: March 21, 2001  
For: COMPOUNDS AND METHOD OF  
TREATMENT HAVING AGONIST-LIKE ACTIVITY SELECTIVE  
AT ALPHA 2B OR 2B/2C ADRENERGIC RECEPTORS  
Group Art Unit: 1626  
Examiner: Not Yet Assigned

RECEIVED  
AUG 20 2001  
TECH CENTER 1600/2900  
PATENT

#14  
nm  
8/5/01

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231 on:

8/20/01

(Date of Deposit)

Bonnie Ferguson  
Printed Name of Person Making Deposit

Bonnie Ferguson  
Signature

8/20/01  
Date of Signature

NOTICE OF CORRECTION OF FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

Please correct the filing receipt as follows:

Domestic Priority data as claimed by applicant

Delete "THIS APPLICATION IS A CON OF 09/329,752 06/10/1999 ABN" and insert in place thereof "THIS APPLICATION IS A CIP OF 09/329,752 06/10/1999 ABN"

If there is a fee associated with preparing this correction, please charge deposit account 01-0885.

Dated: 8/17/01

Respectfully submitted,

By: Carlos A. Fisher

Carlos A. Fisher- T2-7H  
Registration No. 36,510  
Attorney of Record  
ALLERGAN, INC.  
Legal Department  
2525 Dupont Drive  
Irvine, CA. 92612

Telephone: (714) 246-4920  
Facsimile: (714) 246-4249



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER OF PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D. C. 20231  
www.uspto.govRECEIVED  
AUG 30 2001  
TECH CENTER 1600/2000

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/815,362	03/21/2001	1626	4656	17243CIP3 (AP)		108	2

RECEIVED

CONFIRMATION NO. 4078

AUG 17 2001

UPDATED FILING RECEIPT



\*0600000006414898\*

LEGAL/PATENTS

Carlos A. Fisher  
ALLERGAN, INC.  
T2-2E  
2525 Dupont Drive  
Irvine, CA 92623

Date Mailed: 08/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Ken Chow, Newport Coast, CA;  
Daniel W. Gil, Corona Del Mar, CA;  
James A. Burke, Santa Ana, CA;  
Dale A. Harcourt, Irvine, CA;  
Michael E. Garst, Newport Beach, CA;  
Larry A. Wheeler, Irvine, CA;

**Assignment For Published Patent Application**

ALLERGAN SALES, INC.;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A <sup>CIP</sup>CON OF 09/329,752 06/10/1999 ABN  
WHICH IS A CIP OF 09/205,597 12/04/1998 ABN  
WHICH IS A CIP OF 08/985,347 12/04/1997 ABN

**Foreign Applications**

If Required, Foreign Filing License Granted 06/29/2001

Projected Publication Date: 11/22/2001

Non-Publication Request: No

cc: CPI: 8-17-2001  
ml  
dls ✓

Early Publication Request: No

Title

Compounds and method of treatment having agonist-like activity selective at alpha 2B or 2B/2C  
adrenergic receptors

Preliminary Class

548

---

Data entry by : LE, HANH

Team : OIPE

Date: 08/13/2001



*ml*  
*ELASTA*  
RESPONSE DUE *March 21, 2002*

ACTION *CTP Foreign Policy*



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**RECEIVED**  
AUG 30 2001  
TECH CENTER 1600/2900

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231